

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Li-An Yeh et al.  
Application No.: 10/585,216  
Confirmation No.: 9626  
Filed: January 6, 2009  
For: THIOPHENE DERIVATIVES FOR UP-REGULATING HLA-DM  
ACTIVITY  
Examiner: T. A. Solola  
Art Unit: 1622

**Certificate of Electronic Filing Under 37 CFR 1.8**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with 37 CFR § 1.6(a)(4).

Dated: September 21, 2011

Electronic Signature for Paula J. Bramwell: /Paula J. Bramwell/

**SECOND PRELIMINARY AMENDMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 7 of this paper.